

Mar 04, 2020

SEAN F. McAVOY, CLERK

UNITED STATES DISTRICT COURT
EASTERN DISTRICT OF WASHINGTON

STEPHANIE B.,¹

Plaintiff,

v.

ANDREW M. SAUL, the Commissioner
of Social Security,²

Defendant.

No. 4:19-CV-05039-EFS

**ORDER DENYING PLAINTIFF'S
SUMMARY-JUDGMENT MOTION
AND GRANTING DEFENDANT'S
SUMMARY-JUDGMENT MOTION**

Before the Court are the parties' cross summary-judgment motions.³

Plaintiff Stephanie B. appeals the denial of benefits by the Administrative Law

¹ To protect the privacy of the social-security Plaintiff, the Court refers to her by first name and last initial or by "Plaintiff." *See* LCivR 5.2(c).

² Andrew M. Saul is now the Commissioner of the Social Security Administration. Accordingly, the Court substitutes Andrew M. Saul as the Defendant. *See* Fed. R. Civ. P. 25(d).

³ ECF Nos. 9 & 10.

1 Judge (ALJ). She alleges the ALJ erred by 1) improperly weighing the medical
2 opinions; 2) discounting Plaintiff's symptom reports; 3) failing to properly consider
3 lay statements; and 4) improperly determining step five based on an incomplete
4 hypothetical question to the vocational expert. In contrast, Defendant
5 Commissioner of Social Security asks the Court to affirm the ALJ's decision finding
6 Plaintiff not disabled. After reviewing the record and relevant authority, the Court
7 denies Plaintiff's Motion for Summary Judgment, ECF No. 9, and grants the
8 Commissioner's Motion for Summary Judgment, ECF No. 10.

9 **I. Five-Step Disability Determination**

10 A five-step sequential evaluation process is used to determine whether an
11 adult claimant is disabled.⁴ Step one assesses whether the claimant is currently
12 engaged in substantial gainful activity.⁵ If the claimant is engaged in substantial
13 gainful activity, benefits are denied.⁶ If not, the disability-evaluation proceeds to
14 step two.⁷

15 Step two assesses whether the claimant has a medically severe impairment,
16 or combination of impairments, which significantly limits the claimant's physical
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19 ⁴ 20 C.F.R. §§ 404.1520(a), 416.920(a).

20 ⁵ *Id.* §§ 404.1520(a)(4)(i), 416.920(a)(4)(i).

21 ⁶ *Id.* §§ 404.1520(b), 416.920(b).

22 ⁷ *Id.* §§ 404.1520(b), 416.920(b).

1 or mental ability to do basic work activities.⁸ If the claimant does not, benefits are
2 denied.⁹ If the claimant does, the disability-evaluation proceeds to step three.¹⁰

3 Step three compares the claimant's impairment(s) to several recognized by
4 the Commissioner to be so severe as to preclude substantial gainful activity.¹¹ If an
5 impairment meets or equals one of the listed impairments, the claimant is
6 conclusively presumed to be disabled.¹² If an impairment does not, the disability-
7 evaluation proceeds to step four.

8 Step four assesses whether an impairment prevents the claimant from
9 performing work she performed in the past by determining the claimant's residual
10 functional capacity (RFC).¹³ If the claimant is able to perform prior work, benefits
11 are denied.¹⁴ If the claimant cannot perform prior work, the disability-evaluation
12 proceeds to step five.

13 Step five, assesses whether the claimant can perform other substantial
14 gainful work—work that exists in significant numbers in the national economy—in
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16 ⁸ 20 C.F.R. §§ 404.1520(a)(4)(ii), 416.920(a)(4)(ii).

17 ⁹ *Id.* §§ 404.1520(c), 416.920(c).

18 ¹⁰ *Id.* §§ 404.1520(c), 416.920(c).

19 ¹¹ *Id.* §§ 404.1520(a)(4)(iii), 416.920(a)(4)(iii).

20 ¹² *Id.* §§ 404.1520(d), 416.920(d).

21 ¹³ *Id.* §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv).

22 ¹⁴ *Id.* §§ 404.1520(a)(4)(iv), 416.920(a)(4)(iv).
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1 light of the claimant's RFC, age, education, and work experience.¹⁵ If so, benefits
2 are denied. If not, benefits are granted.¹⁶

3 The claimant has the initial burden of establishing entitlement to disability
4 benefits under steps one through four.¹⁷ At step five, the burden shifts to the
5 Commissioner to show that the claimant is not entitled to benefits.¹⁸

6 If there is medical evidence of drug or alcohol addiction (DAA), the ALJ must
7 then determine whether DAA is a material factor contributing to the disability.¹⁹
8 To determine whether DAA is a material factor contributing to the disability, the
9 ALJ evaluates which of the current physical and mental limitations would remain
10 if the claimant stopped using drugs or alcohol and then determines whether any or
11 all of the remaining limitations would be disabling.²⁰ Social Security claimants
12 may not receive benefits if the remaining limitations without DAA would *not* be
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16 ¹⁵ 20 C.F.R. §§ 404.1520(a)(4)(v), 416.920(a)(4)(v); *Kail v. Heckler*, 722 F.2d 1496,
17 1497-98 (9th Cir. 1984).

18 ¹⁶ 20 C.F.R. §§ 404.1520(g), 416.920(g).

19 ¹⁷ *Parra v. Astrue*, 481 F.3d 742, 746 (9th Cir. 2007).

20 ¹⁸ *Id.*

21 ¹⁹ 20 C.F.R. §§ 404.1535(a), 416.935(a).

22 ²⁰ 20 C.F.R. §§ 404.1535(b)(2), 416.935(b)(2).
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1 disabling.²¹ The claimant has the burden of showing that DAA is not a material
2 contributing factor to disability.²²

3 **II. Factual and Procedural Summary**

4 Plaintiff filed a Title II and XVI application, alleging a disability onset date
5 of April 1, 2015.²³ Plaintiff meets the insured status requirements through
6 September 30, 2009.²⁴ Her claim was denied initially and upon reconsideration.²⁵
7 An administrative hearing was held before Administrative Law Judge Donna
8 Walker.²⁶

9 In denying Plaintiff's disability claims, the ALJ made the following findings:

- 10 • Step one: Plaintiff had not engaged in substantial gainful activity
11 since April 1, 2005, the alleged onset date;
- 12 • Step two: Plaintiff had the following medically determinable severe
13 impairments: major depressive disorder, generalized anxiety disorder,
14 attention deficit hyperactivity disorder (ADHD), combined post-

16 ²¹ 42 U.S.C. §§ 423(d)(2)(C); 20 C.F.R. §§ 404.1535, 416.935(b); *Sousa v. Callahan*,
17 143 F.3d 1240, 1245 (9th Cir. 1998).

18 ²² *Parra*, 481 F.3d at 748.

19 ²³ AR 15.

20 ²⁴ AR 17.

21 ²⁵ AR 137 & 141.

22 ²⁶ AR 38.

1 traumatic stress disorder (PTSD), disorders of reading, math, and
2 written expression, and methamphetamine abuse;

- 3 • Step three: Plaintiff's impairments, including the substance use
4 disorder, meet Listings 12.04, 12.06, 12.11, and 12.15. However, the
5 ALJ found that if Plaintiff stopped the substance use, she would not
6 have an impairment or combination of impairments that meets or
7 medically equals the severity of one of the listed impairments.
- 8 • RFC: if Plaintiff stopped the substance use, Plaintiff would have the
9 RFC to perform a full range of work at all exertional levels but with
10 the following nonexertional limitations:

11 Regarding mental abilities, she has the ability to understand,
12 remember, or apply information that is simple and routine, consistent
13 with SVP 1-2 skill level. Regarding interaction with others, she would
14 work best in an environment in proximity to, but not close
15 cooperation, with co-workers and supervisors, and must work in an
16 environment away from the public. Regarding the ability to
17 concentrate, persist or maintain pace, she has the ability, with legally
18 required breaks, to focus attention on work activities and stay on task
19 at a sustained rate; complete tasks in a timely manner; sustain an
20 ordinary routine; regularly attend work; and work a full day without
21 needing more than the allotted number or length of rest periods.
22 Regarding the ability to adapt or manage, she work[s] best in an
23 environment that is routine and predictable. She should not be
required to perform fast-paced, production-quota work, and her work
must be non-managerial, with no independent decision making.

- 19 • Step four: Plaintiff does not have past relevant work; and
- 20 • Step five: considering Plaintiff's RFC, age, education, and work
21 history, Plaintiff was capable of performing work that existed in
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1 significant numbers in the national economy, if she stopped substance
2 abuse, such as janitor and floor waxer.²⁷

3 When assessing the medical-opinion evidence, the ALJ gave:

- 4 • great weight to the opinions of the testifying medical expert, Nancy
5 Winfrey, Ph.D., and the reviewing opinions of the state agency
6 consultants, Michael Regets, Ph.D. and Dan Donahue, Ph.D.;
- 7 • some weight to the evaluating opinions of Philip Barnard, Ph.D., Kyle
8 Sullivan, CDMHP, and Novita Peters, CDMHP; and
- 9 • little weight to the evaluating opinion of David Lowe, LMHC, and the
10 examining opinion of Tae-Im Moon, Ph.D.

11 The ALJ also found that if Plaintiff stopped the substance abuse, Plaintiff's
12 medically determinable impairments could reasonably be expected to cause some of
13 the alleged symptoms, but that her statements concerning the intensity,
14 persistence, and limiting effects of those symptoms were not entirely consistent
15 with the medical evidence and other evidence in the record.²⁸ And the ALJ gave
16 little weight to the lay testimony of Plaintiff's mother.

17 Plaintiff requested review of the ALJ's decision by the Appeals Council,
18 which denied review.²⁹ Plaintiff timely appealed to this Court.

20 ²⁷ AR 15-32.

21 ²⁸ AR 29.

22 ²⁹ AR 1.

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III. Standard of Review

A district court's review of the Commissioner's final decision is limited.³⁰ The Commissioner's decision is set aside "only if it is not supported by substantial evidence or is based on legal error."³¹ Substantial evidence is "more than a mere scintilla but less than a preponderance; it is such relevant evidence as a reasonable mind might accept as adequate to support a conclusion."³² Moreover, because it is the role of the ALJ and not the Court to weigh conflicting evidence, the Court upholds the ALJ's findings "if they are supported by inferences reasonably drawn from the record."³³ The Court considers the entire record as a whole.³⁴

³⁰ 42 U.S.C. § 405(g).

³¹ *Hill v. Astrue*, 698 F.3d 1153, 1158 (9th Cir. 2012).

³² *Id.* at 1159 (quoting *Sandgathe v. Chater*, 108 F.3d 978, 980 (9th Cir. 1997)).

³³ *Molina v. Astrue*, 674 F.3d 1104, 1111 (9th Cir. 2012).

³⁴ *See Lingenfelter v. Astrue*, 504 F.3d 1028, 1035 (9th Cir. 2007) (The court "must consider the entire record as whole, weighing both the evidence that supports and the evidence that detracts from the Commissioner's conclusion," not simply the evidence cited by the ALJ or the parties.); *Black v. Apfel*, 143 F.3d 383, 386 (8th Cir. 1998) ("An ALJ's failure to cite specific evidence does not indicate that such evidence was not considered[.]").

1 Further, the Court may not reverse an ALJ decision due to a harmless
2 error.³⁵ An error is harmless “where it is inconsequential to the [ALJ’s] ultimate
3 nondisability determination.”³⁶ The party appealing the ALJ’s decision generally
4 bears the burden of establishing harm.³⁷

5 IV. Analysis

6 A. Medical Opinions: Plaintiff fails to establish consequential error.

7 Plaintiff faults the ALJ for improperly discounting the opinions of Dr.
8 Winfrey, Dr. Moon, Dr. Barnard, and Mr. Lowe.

9 The weighing of medical-source opinions is dependent upon the nature of the
10 medical relationship, i.e., 1) a treating physician; 2) an examining physician who
11 examines but did not treat the claimant; and 3) a non-examining physician who
12 neither treated nor examined the claimant.³⁸ Generally, more weight is given to
13 the opinion of a treating physician than to the opinion of a non-treating
14 physician.³⁹ When a treating physician’s opinion is not contradicted by another
15 physician, it may be rejected only for “clear and convincing” reasons, and when it is
16 contradicted, it may not be rejected without “specific and legitimate reasons”
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18 ³⁵ *Molina*, 674 F.3d at 1111.

19 ³⁶ *Id.* at 1115 (quotation and citation omitted).

20 ³⁷ *Shinseki v. Sanders*, 556 U.S. 396, 409-10 (2009).

21 ³⁸ *Garrison v. Colvin*, 759 F.3d 995, 1012 (9th Cir. 2014).

22 ³⁹ *Id.*
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1 supported by substantial evidence in the record.⁴⁰ The opinion of a nonexamining
2 physician serves as substantial evidence if it is supported by other independent
3 evidence in the record.⁴¹

4 As discussed below, the Court finds Plaintiff fails to establish that the ALJ's
5 weighing of the medical-opinion evidence was erroneous.

6 1. Dr. Winfrey

7 Dr. Winfrey testified as a medical expert after reviewing the entire medical
8 evidence of record. Dr. Winfrey opined that when Plaintiff was using
9 methamphetamine, she had marked mental limitations, but that when Plaintiff
10 was clean and sober, she had moderate mental limitations, in the following
11 activities: understanding, remembering, and applying information; interacting
12 with others; concentrating persisting, or maintaining pace; and adapting or
13 managing oneself.⁴² Based on these mental limitations, Dr. Winfrey opined that
14 Plaintiff, without substance abuse, was capable of performing simple, routine,
15 repetitive tasks in a routine, low stress, non-fast paced, environment.⁴³

16 Plaintiff argues the ALJ rejected a portion of Dr. Winfrey's testimony as it
17 relates to Dr. Moon in that "Dr. Winfrey testified that Dr. Moon's opinion was the
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19 ⁴⁰ *Id.*

20 ⁴¹ *Andrews v. Shalala*, 53 F.3d 1035, 1041 (9th Cir. 1995).

21 ⁴² AR 49-51.

22 ⁴³ AR 51.

1 best evidence as far as Plaintiff's functioning in the absence of substance abuse."⁴⁴

2 However, Dr. Winfrey testified that "perhaps" Dr. Moon's evaluation was best
3 evidence along with Plaintiff "actually working" during that time period. In
4 addition, as explained above, Dr. Winfrey offered her own conclusions as to
5 Plaintiff's functioning when sober after consideration of the entire longitudinal
6 medical record, which included considering Dr. Moon's assessment. Thus, Plaintiff
7 fails to establish that the ALJ erred in her understanding of Dr. Winfrey's opinion.

8 2. Dr. Moon

9 Dr. Moon performed a psychological evaluation of Plaintiff on October 25,
10 2015. Dr. Moon reported a diagnosis of Stimulant Related Disorder, amphetamine-
11 type substance, in partial remission, in controlled environment; Rule out Bipolar II
12 Disorder; Attention-Deficity Hyperactivity Disorder (ADHD), combined
13 presentation; and Posttraumatic Stress Disorder (PTSD). Dr. Moon opined that
14 Plaintiff had moderate limitations in the following activities: understanding,
15 remembering, and persisting in tasks by following short and simple instructions;
16 learning new tasks; performing routine tasks without special supervision; adapting
17 to changes at work, making simple work-related decisions; being aware of normal
18 hazards and taking appropriate precautions; asking simple questions or requesting
19 assistance; and maintaining appropriate behavior in a work setting. Dr. Moon also
20 opined that Plaintiff had marked limitations in the following basic work activities:

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22 ⁴⁴ ECF No. 9 at 13.

1 understanding, remembering, and persisting in tasks by following detailed
2 instructions; performing activities within a schedule, maintaining regular
3 attendance, and being punctual within customary tolerances without special
4 supervision; communicating and performing effectively in a work setting; completing
5 a normal work day and work week without interruptions from psychological based
6 symptoms; and setting realistic goals and planning independently. Dr. Moon further
7 opined that these impairments were not the primary result of alcohol or drug use in
8 the past sixty days.

9 The ALJ discounted Dr. Moon's opinion because 1) it was inconsistent with
10 the record as a whole; 2) it did not assess Plaintiff's functioning in the absence of
11 substance abuse; 3) and the form completed by Dr. Moon indicated it was only for
12 the purpose of determining eligibility for assistance for Washington State
13 Department of Social and Health Services (DSHS) and not any other concerns.

14 First, the ALJ's finding that Dr. Moon's opinion was inconsistent with the
15 record as a whole is rational and supported by substantial evidence. As discussed
16 above, the ALJ credited the opinions of Dr. Winfrey, who reviewed the record as a
17 whole and gave medical opinions contrary to Dr. Moon's opinions. The ALJ also
18 credited the opinions of Dr. Regets and Dan Donahue who gave opinions contrary to
19 Dr. Moon's opinions.⁴⁵ Even if Plaintiff can identify evidence that can be interpreted

21 ⁴⁵ Dr. Donahue and Dr. Regets opined that Plaintiff had the following moderate
22 mental limitations: Plaintiff was capable of simple and semi-complex tasks; her
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1 more favorably to Plaintiff's position, the evidence is susceptible to more than one
2 rational interpretation, and therefore the ALJ's ultimate conclusion must be
3 upheld.⁴⁶ The ALJ did not err in discrediting Dr. Moon's psychological evaluation as
4 not being supported by the longitudinal record.

5 Second, although the ALJ mistakenly believed that Dr. Moon did not assess
6 Plaintiff's functioning in the absence of substance abuse, the minor error does not
7 necessitate remand in light of the fact that the ALJ explained that even if Dr. Moon
8 "indicated his opinion of up to marked mental limitations applied in the absence of
9 substance abuse," as explained above, "it was still inconsistent with the record as a
10 whole" supporting the ALJ's discrediting of Dr. Moon's psychological evaluation.⁴⁷

11 Third, the ALJ erred when it discredited Dr. Moon's opinion because it was
12 completed for the purpose of DSHS.⁴⁸ The error, however, is harmless. As discussed

14 concentration persistence, and pace may wax and wane with depression and ADHD,
15 but not to the point of preventing all work activity; and she would do best with
16 superficial, infrequent contact with others and with additional time to adjust to
17 changes in the workplace. AR 79-87 & 122-23. Plaintiff does not challenge the
18 opinions of Dr. Donahue and Dr. Regets.

19 ⁴⁶ See *Burch v. Barnhart*, 400 F.3d 676, 680 (9th Cir. 2005).

20 ⁴⁷ AR 30.

21 ⁴⁸ See *Godwin v. Colvin*, No. 2017 WL 343641, *4 (E.D. Wash. Jan. 23, 2017) (citing
22 *Lester v. Chater*, 81 F.3d 821, 832 (9th Cir. 1995)).
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1 above, the ALJ cited other specific, legitimate reasons supported by substantial
2 evidence which support the ALJ's rejection of Dr. Moon's opinion.⁴⁹

3 Plaintiff fails to establish that the ALJ erred by discounting Dr. Moon's
4 opinions.

5 3. Dr. Barnard

6 Dr. Barnard performed a psychological evaluation of Plaintiff on February 14,
7 2006.⁵⁰ Dr. Barnard reported the established diagnosis of Anxiety Disorder, NOS –
8 mixed anxiety and depressive disorder, PTSD, ADHD/Predominately Inattentive
9 Type, Reading Disorder, Mathematics Disorder of Written Expression, and
10 Dependent Personality Disorder.⁵¹ Dr. Barnard opined that Plaintiff had moderate
11 limitations in the following activities: ability to understand, remember and follow
12 simple (one or two step) instructions; ability to learn new tasks; and ability to
13 perform routine tasks. Dr. Barnard also opined that Plaintiff had marked limitations
14 in the following activities: ability to understand, remember and follow complex (more
15 than two step) instructions; and the ability to exercise judgment and make
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18 ⁴⁹ *Reddick v. Chater*, 157 F.3d 715, 726 (9th Cir. 1998) (In the absence of other
19 evidence undermining the credibility of a medical report, the purpose for which the
20 report was obtained does not provide a legitimate basis for rejecting it.)

21 ⁵⁰ See AR 338-42.

22 ⁵¹ AR 340.
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1 decisions.⁵² Based on these mental limitations, Dr. Barnard opined that it was
2 improbable that Plaintiff could obtain and maintain employment on a gainful basis.

3 The ALJ discounted Dr. Barnard's opinion because 1) it did not assess the role
4 of substance abuse in Plaintiff's symptoms; and 2) it was before the date of Plaintiff's
5 Title XVI application.⁵³

6 First, the ALJ's finding that Dr. Barnard did not assess the role of substance
7 abuse in Plaintiff's symptoms is a rational finding supported by substantial evidence.
8 If there is evidence of drug addiction or alcoholism, the ALJ must determine whether
9 the drug addiction or alcoholism is a contributing factor.⁵⁴ To do this, the ALJ
10 evaluates the plaintiff's current physical and mental limitations and determines if
11 any or all would remain if plaintiff stopped using drugs or alcohol.⁵⁵

16 ⁵² AR 341.

17 ⁵³ AR 30. The Court notes the ALJ rejected the opinions of Kyle Sullivan, CDMHP,
18 and Novita Peters, CDMHP, on the same grounds as Dr. Barnard's opinion. AR 30.
19 However, Plaintiff does not challenge the ALJ's weighing of the opinions of Mr.
20 Sullivan or Ms. Peters.

21 ⁵⁴ See 20 C.F.R. § 404.1535.

22 ⁵⁵ *Id.*

1 Here, the record indicates Plaintiff reported substance both prior and post Dr.
2 Barnard's evaluation.⁵⁶ Because the ALJ must determine the role substance abuse
3 plays in Plaintiff's mental impairments, discounting Dr. Barnard's opinion because it
4 did not assess the role of substance abuse is a legitimate reason supported by
5 substantial evidence which supports the discounting of Dr. Barnard's opinion.

6 Second, the ALJ erred when it discredited Dr. Barnard's opinion because it
7 was completed in 2006 before the date of Plaintiff's Title XVI application because the
8 2006 opinion is within the relevant timeframe, as the alleged onset date is April 1,
9 2005, with a date last insured of September 30, 2009. Nevertheless, this error is
10 harmless where, as discussed above, the ALJ lists an additional reason, supported
11 by substantial evidence, for discounting Dr. Barnard's opinion.

12 4. Mr. Lowe

13 Mr. Lowe performed an assessment of Plaintiff on December 13, 2016. Mr.
14 Lowe opined Plaintiff's mental conditions limited her ability to work, look for work,
15 or prepare for work, and that Plaintiff was limited to 1-10 hours of participation in
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17 ⁵⁶ AR 371 ("[Plaintiff] reported she used meth, marijuana and cocaine as a teen. She
18 last smoked meth from Nov. to Dec. of 2010."); AR 373 (Plaintiff reported being on
19 probation for a DUI in 2006); AR 380 (Plaintiff has a history of methamphetamine
20 abuse); AR 467 (report indicating Plaintiff as a past methamphetamine user); AR
21 552 (Plaintiff reporting past use of methamphetamine); AR 61 (Plaintiff reported
22 most recent relapse was in 2017).
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1 work-related activities per week.⁵⁷ Mr. Lowe further opined Plaintiff's conditions
2 would likely limit Plaintiffs ability to work, look for work, or train to work, for six
3 months. Mr. Lowe noted Plaintiff was attending intensive outpatient treatment
4 three days a week.

5 The ALJ discounted Mr. Lowe's assessment because he opined that Plaintiff
6 would be limited in her ability to work for a six-month period.⁵⁸ Temporary
7 limitations are not enough to meet the durational requirement for a finding of
8 disability.⁵⁹ The ALJ noted that Mr. Lowe's opinion does, however, further support
9 a disabling mental limitations with substance abuse.⁶⁰ Plaintiff does not address the
10 ALJ's finding regarding Mr. Lowe's temporary limitations, and instead focuses her
11 argument solely on whether the ALJ erred in finding Mr. Lowe's evaluation was
12 cursory.⁶¹ Because Mr. Lowe indicated that Plaintiff's opined restrictions were not
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14 ⁵⁷ AR 1297.

15 ⁵⁸ AR 29.

16 ⁵⁹ 20 C.F.R. § 416.905(a) (requiring a claimant's impairment to be expected to last
17 for a continuous period of not less than twelve months); 42 U.S.C. § 423(d)(1)(A)
18 (same); *Carmickle v. Comm'r of Soc. Sec.*, 533 F.3d 1115, 1165 (9th Cir. 2008)
19 (affirming the ALJ's finding that treating physicians' short-term excuse for work was
20 not indicative of "claimant's long-term functioning").

21 ⁶⁰ AR 29.

22 ⁶¹ ECF No. 9 at 15.
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1 permanent restrictions or expected to last for a continuous period of not less than
2 twelve months, the ALJ reasonably discounted Mr. Lowe's opinion.

3 **B. Plaintiff's Symptom Reports: Plaintiff fails to establish error.**

4 Plaintiff argues the ALJ failed to provide valid reasons for rejecting her
5 symptom reports. When examining a claimant's symptom reports, the ALJ must
6 make a two-step inquiry. "First, the ALJ must determine whether there is objective
7 medical evidence of an underlying impairment which could reasonably be expected
8 to produce the pain or other symptoms alleged."⁶² Second, "[i]f the claimant meets
9 the first test and there is no evidence of malingering, the ALJ can only reject the
10 claimant's testimony about the severity of the symptoms if [the ALJ] gives 'specific,
11 clear and convincing reasons' for the rejection."⁶³

12 The ALJ found Plaintiff's statements concerning the intensity, persistence,
13 and limiting effects of her symptoms inconsistent with the objective medical
14 evidence and other evidence in the record.⁶⁴ As the ALJ discussed, the objective
15 medical evidence is inconsistent with Plaintiff's reported disabling symptoms.
16 Here, the ALJ found that, in the absence of methamphetamine use, Plaintiff had
17 notable improvement in her mental symptoms. For example, during periods of
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19 ⁶² *Molina*, 674 F.3d at 1112.

20 ⁶³ *Ghanim v. Colvin*, 763 F.3d 1154, 1163 (9th Cir. 2014) (quoting *Lingenfelter*, 504
21 F.3d at 1036).

22 ⁶⁴ AR 29.

1 reported sobriety, Plaintiff reported her depression symptoms were controlled with
2 medication and denied any thoughts of suicide. Also during times of reported
3 sobriety, assessments conducted reported Plaintiff appeared oriented to time
4 person, place and situation, exhibited normal insight and judgment, and
5 demonstrated appropriate mood and affect.⁶⁵ In addition, the ALJ noted Plaintiff's
6 lack of compliance with recommended treatment.⁶⁶ These were clear and
7 convincing reasons, supported by substantial evidence, to discount Plaintiff's
8 reported disabling symptom reports.

9 Plaintiff fails to establish he ALJ erred by discounting Plaintiff's symptom
10 reports.

11 **C. Lay Witness Testimony: Plaintiff fails to establish error.**

12 An ALJ must consider the testimony of lay witnesses in determining how an
13 impairment affects the claimant's ability to work, and, if the lay witness statements
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15 ⁶⁵ AR 339, 513, 837, 902, & 947.

16 ⁶⁶ See *Molina*, 674 F.3d at 1113-14; see also *Smolen v. Chater*, 80 F.3d 1273, 1284
17 (9th Cir. 1996) (an ALJ may consider a claimant's unexplained or inadequately
18 explained failure to follow a prescribed course of treatment when assessing a
19 claimant's credibility); see for example AR 695 (Plaintiff reports since being released
20 from inpatient treatment, she has not been consistent with any of her psychotropic
21 medications. "She reports that she would often forget to take medications or that she
22 would be too lazy to take them.").

1 are rejected, the ALJ must give germane reasons for discounting such statements.⁶⁷
2 Plaintiff argues the ALJ failed to provide a germane reason for discounting the lay
3 witness statement from Plaintiff's mother.

4 The ALJ assigned little weight to Plaintiff's mother's statements because they
5 essentially mirrored those of Plaintiff, did not discuss the role of significant drug
6 abuse, and are not an acceptable medical source.⁶⁸ Because these statements are
7 similar to Plaintiff's symptom reports, and the ALJ properly discounted Plaintiff's
8 symptom reports for clear and convincing reasons, the ALJ needed only to point to
9 the same reasons for discounting Plaintiff's mother's statements.⁶⁹ These were
10 germane reasons for discounting Plaintiff's mother's statements.

11 **D. Step Five: Plaintiff fails to establish error.**

12 Plaintiff argues that the ALJ's hypothetical failed to take into account the
13 limitations set forth by improperly rejected medical sources. However, this argument
14 merely restates Plaintiff's earlier allegations of error, which are not supported by
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17 ⁶⁷ *Stout v. Comm'r, Soc. Sec. Admin.*, 454 F.3d 1050, 1053 (9th Cir. 2006); *Nguyen v.*
18 *Chater*, 100 F.3d 1462, 1467 (9th Cir. 1996) (citing *Dodrill v. Shalala*, 12 F.3d 915,
19 919 (9th Cir. 1993)).

20 ⁶⁸ AR 30-31.

21 ⁶⁹ *See Molina*, 674 F.3d at 1114; *Valentine v. Comm'r Soc. Sec. Admin.*, 574 F.3d 685,
22 694 (9th Cir. 2009).

1 the record. Accordingly, the ALJ's hypothetical properly accounted for the
2 limitations supported by the record.⁷⁰

3 **V. Conclusion**

4 Accordingly, **IT IS HEREBY ORDERED:**

- 5 1. Plaintiff's Motion for Summary Judgment, **ECF No. 9**, is **DENIED**.
6 2. Defendant's Motion for Summary Judgment, **ECF No. 10**, is
7 **GRANTED**.
8 3. The Clerk's Office shall enter **JUDGMENT** in favor of Defendant.
9 4. The case shall be **CLOSED**.

10 **IT IS SO ORDERED.** The Clerk's Office is directed to file this Order,
11 provide copies to all counsel, and close the file.

12 **DATED** this 4th day of March 2020.

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14 s/Edward F. Shea
EDWARD F. SHEA
15 Senior United States District Judge
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22 ⁷⁰ See *Magallanes*, 881 F.2d at 756–57 (holding it is proper for the ALJ to limit a
23 hypothetical to those restrictions supported by substantial evidence in the record).